

Static bounds  
on running  
time

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# Static bounds on program running time

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University of Pennsylvania

December 12, 2016

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# Partial and total correctness

$$\{P\} \quad C \quad \{Q\}$$

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# Partial and total correctness

$$\{P\} \ C \ \{Q\}$$

**Partial Correctness**

$$(P \wedge h) \rightarrow Q$$

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# Partial and total correctness

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**Total Correctness**

$$P \rightarrow (h \wedge Q)$$

**Partial Correctness**

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# Partial and total correctness

$\{P\} \ C \ \{Q\}$

## Total Correctness

$$P \rightarrow (h \wedge Q)$$

✓ semantic bugs

## Partial Correctness

$$(P \wedge h) \rightarrow Q$$

✓ semantic bugs

# Partial and total correctness

$\{P\} \ C \ \{Q\}$

## Total Correctness

$$P \rightarrow (h \wedge Q)$$

✓ semantic bugs

✓ nontermination

## Partial Correctness

$$(P \wedge h) \rightarrow Q$$

✓ semantic bugs

✗ nontermination

$\{X = n\}$

```
Total := 0
I := 1
while I <= X :
    Total := Total + I
```

$\{\text{Total} = \frac{n(n+1)}{2}\}$

# Partial and total correctness

$\{P\} \ C \ \{Q\}$

## Total Correctness

$$P \rightarrow (h \wedge Q)$$

✓ semantic bugs

✓ nontermination

✗ performance bugs

## Partial Correctness

$$(P \wedge h) \rightarrow Q$$

✓ semantic bugs

✗ nontermination

✗ performance bugs

$\{X = n\}$

```
Total := 0
I := 1
while I <= 2000000000 :
    if (I <= X) :
        Total := Total + I
    I := I + 1
```

$\{\text{Total} = \frac{n(n+1)}{2}\}$

# Partial and total correctness

$\{P\} \ C \ \{Q\}$

**Total Correctness** > **Partial Correctness**

$$P \rightarrow (h \wedge Q)$$

✓ semantic bugs

✓ nontermination

✗ performance bugs

$$(P \wedge h) \rightarrow Q$$

✓ semantic bugs

✗ nontermination

✗ performance bugs

**Partial Correctness + Time > Total Correctness [1]**

# Overview

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# SPEED: Precise and Efficient Static Estimation of Program Computational Complexity

[2]: Sumit Gulwani, Krishna K Mehra, and Trishul Chilimbi,  
Microsoft Research, 2009

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Requirements:

- Able to contain  $+$ ,  $\cdot$ ,  $\min$ ,  $\max$

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**Goal:** Automatically generate upper bounds on the ~~running time of~~ number of loop iterations of simple programs

Requirements:

- Able to contain  $+$ ,  $\cdot$ ,  $\min$ ,  $\max$
- Precise (tight)

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**Goal:** Automatically generate upper bounds on the ~~running time of~~ number of loop iterations of simple programs

Requirements:

- Able to contain  $+$ ,  $\cdot$ ,  $\min$ ,  $\max$
- Precise (tight)
- Correct

# SPEED bound generation

## Requirements:

- Able to contain  $+, \cdot, \min, \max$
- Precise (tight)
- Correct

Also:

- ★ Built from linear constraints

# SPEED bound generation

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- Able to contain  $+, \cdot, \min, \max$
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# SPEED bound generation

## Requirements:

- Able to contain  $+, \cdot, \min, \max$
- Precise (tight)
- Correct

Also:

- ★ Built from linear constraints (allows use of linear invariant generation tool)
- ★ Small enough search space

# Static bounds on running time

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```
def f1(x0, y0, m, n) :  
    x := x0; y := y0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            x++
```

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            x++
```

**Loop iterations:**  $(m - x_0) + (n - y_0)$

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            x++
```

**Loop iterations:** 0 if  $x_0 \geq m$ ; otherwise,  $m - x_0 + \max(0, n - y_0)$ .  
**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0; T := 0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            x++  
    T++
```

**Loop iterations:** 0 if  $x_0 \geq m$ ; otherwise,  $m - x_0 + \max(0, n - y_0)$ .

**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0; T := 0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            x++  
    T++ {T + x0 + y0 = x + y}
```

**Loop iterations:** 0 if  $x_0 \geq m$ ; otherwise,  $m - x_0 + \max(0, n - y_0)$ .

**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0; T := 0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            x++  
    T++ {T + x0 + y0 = x + y ∧ x ≤ m ∧ y ≤ max(n, y0)}  
    ⇒ {T ≤ max(0, m - x0) + max(0, n - y0)}
```

**Loop iterations:** 0 if  $x_0 \geq m$ ; otherwise,  $m - x_0 + \max(0, n - y_0)$ .

**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0; c1 := 0; c2 := 0  
    while (x < m) :  
        if (y < n) :  
            y++; c2++  
        else :  
            x++; c1++
```

**Loop iterations:** 0 if  $x_0 \geq m$ ; otherwise,  $m - x_0 + \max(0, n - y_0)$ .

**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

```
def f1(x0, y0, m, n) :  
    x := x0; y := y0; c1 := 0; c2 := 0  
    while (x < m) :  
        if (y < n) :  
            y++; c2++ { $y_0 + c_2 = y \wedge y \leq n$ }  
                          $\implies \{c_2 \leq n - y_0\}$   
        else :  
            x++; c1++ { $x_0 + c_1 = x \wedge x \leq m$ }  
                          $\implies \{c_1 \leq m - x_0\}$ 
```

$$\{T = c_1 + c_2 \leq \max(0, m - x_0) + \max(0, n - y_0)\}$$

**Loop iterations:** 0 if  $x_0 \geq m$ ; otherwise,  $m - x_0 + \max(0, n - y_0)$ .

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```
def f2(x0, y0, n) :  
    x := x0; y := y0  
    while (x < n) :  
        if (x < y) :  
            x++  
        else :  
            y++
```

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```
def f2(x0, y0, n) :  
    x := x0; y := y0  
    while (x < n) :  
        if (x < y) :  
            x++  
        else :  
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```

## Loop iterations:

- 0 if  $x_0 \geq n$
- $n - x_0$  if  $x_0 < n \leq y_0$
- $(n - x_0) + (n - y_0)$  if  $x_0, y_0 < n$

**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

```
def f2(x0, y0, n) :  
    x := x0; y := y0; c1 := 0; c2 := 0  
    while (x < n) :  
        if (x < y) :  
            x++; c1++ { $x_0 + c_1 = x \wedge x \leq n$ }  
             $\implies \{c_1 \leq n - x_0\}$   
        else :  
            y++; c2++ { $y_0 + c_2 = y \wedge y \leq n$ }  
             $\implies \{c_2 \leq n - y_0\}$ 
```

$$\{T = c_1 + c_2 \leq \max(0, n - x_0) + \max(0, n - y_0)\}$$

## Loop iterations:

- 0 if  $x_0 \geq n$
- $n - x_0$  if  $x_0 < n \leq y_0$
- $(n - x_0) + (n - y_0)$  if  $x_0, y_0 < n$

**Upper bound:**  $\max(0, m - x_0) + \max(0, n - y_0)$ .

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# Strategy

- Assign a loop counter for every back-edge in the program

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## Strategy

- Assign a loop counter for every back-edge in the program
- Compute a **linear** bound on the value of the loop counter at each back-edge

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$$c_3 \leq B_1$$

$$c_1 \leq B_2$$

$$c_2 \leq B_3$$

$$c_3 \leq B_4$$

$$c_1 \leq B_5$$

## Strategy

- Assign a loop counter for every back-edge in the program
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$$c_3 \leq B_1$$

$$c_1 \leq B_2$$

$$c_2 \leq B_3$$

$$c_3 \leq B_4$$

$$c_1 \leq B_5$$

$$\begin{aligned}T &= c_1 + c_2 + c_3 \\&\leq \max(0, B_2, B_5) + \max(0, B_3) + \max(0, B_1, B_4)\end{aligned}$$

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```
def f3(m, n) :  
    x := 0; y := 0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            y = 0  
            x++
```

```
def f3(m, n) :  
    x := 0; y := 0  
    while (x < m) :  
        if (y < n) :  
            y++  
        else :  
            y = 0  
            x++
```

## Loop iterations:

- 0 if  $m \leq 0$
- $m$  if  $n \leq 0 < m$
- $m(n + 1)$  if  $0 < m, n$

**Upper bound:**  $\max(m, 0) + (\max(m, 0) + 1) \cdot \max(n, 0)$ .

```
def f3(m, n) :  
    x := 0; y := 0; c1 := 0; c2 := 0  
    while (x < m) :  
        if (y < n) :  
            y++; c2++  
        else :  
            y = 0  
            x++; c1++; c2 = 0
```

## Loop iterations:

- 0 if  $m \leq 0$
- $m$  if  $n \leq 0 < m$
- $m(n + 1)$  if  $0 < m, n$

**Upper bound:**  $\max(m, 0) + (\max(m, 0) + 1) \cdot \max(n, 0)$ .

```
def f3(m, n) :  
    x := 0; y := 0; c1 := 0; c2 := 0  
    while (x < m) :  
        if (y < n) :  
            y++; c2++ { $y = c_2 \wedge y \leq n$ }  
                          $\Rightarrow \{c_2 \leq n\}$   
        else :  
            y = 0  
            x++; c1++; c2 = 0 { $x = c_1 \wedge x \leq m$ }  
                          $\Rightarrow \{c_1 \leq m\}$ 
```

## Loop iterations:

- 0 if  $m \leq 0$
- $m$  if  $n \leq 0 < m$
- $m(n + 1)$  if  $0 < m, n$

**Upper bound:**  $\max(m, 0) + (\max(m, 0) + 1) \cdot \max(n, 0)$ .

## Strategy

- Assign a loop counter for every back-edge in the program
- Assign DAG of loop counter dependencies
- Compute a linear bound on the value of the loop counter at each back-edge

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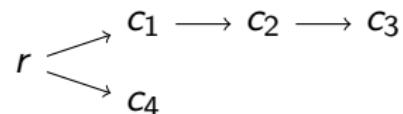
$$c_3 \leq B_1$$

$$c_1 \leq B_2$$

$$c_2 \leq B_3$$

$$c_4 \leq B_4$$

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# Strategy

- Assign a loop counter for every back-edge in the program
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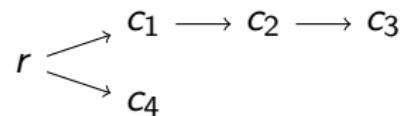
$$c_3 \leq B_1$$

$$c_1 \leq B_2$$

$$c_2 \leq B_3$$

$$c_4 \leq B_4$$

$$c_1 \leq B_5$$



$$T_1 \leq \max(0, B_2, B_5)$$

$$T_2 \leq (1 + T_1) \max(0, B_3)$$

$$T_3 \leq (1 + T_2) \max(0, B_1)$$

$$T_4 \leq \max(0, B_4)$$

$$T = T_1 + T_2 + T_3 + T_4$$

# SPEED bound generation

## Requirements:

- ✓ Able to contain  $+, \cdot, \min, \max$
- ★ Precise (tight)
- ✓ Correct
- ✓ Built from linear constraints (allows use of linear invariant generation tool)
- ✓/★ Small enough search space

# Search Space

- Pick a number of variables

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- Assign a variable to each back-edge ( $>$  exponential)

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- Assign a variable to each back-edge ( $>$  exponential)
- Assign DAG of variable dependencies ( $>$  exponential)

# Search Space

- Pick a number of variables
- Assign a variable to each back-edge (> exponential)
- Assign DAG of variable dependencies (> exponential)

Optimal bound in search space

# Search Space

- Pick a number of variables
- Assign a variable to each back-edge ( $>$  exponential)
- Assign DAG of variable dependencies ( $>$  exponential)

~~Optimal bound in search space~~

“Counter-optimal” bound

# Strategy

- Assign a loop counter for every back-edge in the program
- Assign DAG of loop counter dependencies
- Compute a **linear** bound on the value of the loop counter at each back-edge

And:

- Minimize number of counters

# Strategy

- Assign a loop counter for every back-edge in the program
- Assign DAG of loop counter dependencies
- Compute a **linear** bound on the value of the loop counter at each back-edge

And:

- Minimize number of counters
- Minimize number of dependencies

- Minimize number of counters

```
def f4(n) :  
    x = 0  
    while (x < n) :  
        if (*) :  
            x++  
        else  
            x++
```

- Minimize number of counters

```
def f5(n) :  
    x = 0  
    while (x < n and rand({0,1}) == 0) :  
        x++  
    while (x < n) :  
        x++
```

# Algorithm

Repeat:

- Pick an unassigned back-edge and assign it a counter.

# Algorithm

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- Pick an unassigned back-edge and assign it a counter.
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Repeat:

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  - If an existing counter works, use that.
  - Try to define a new counter.

# Algorithm

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  - Try to define a new counter.
  - Otherwise, fail.

# Algorithm

Repeat:

- Pick an unassigned back-edge and assign it a counter.
  - If an existing counter works, use that.
  - Try to define a new counter.
  - Otherwise, fail.

Whenever a new counter is added:

- Add all dependencies from previous counters.

# Algorithm

Repeat:

- Pick an unassigned back-edge and assign it a counter.
  - If an existing counter works, use that.
  - Try to define a new counter.
  - Otherwise, fail.

Whenever a new counter is added:

- Add all dependencies from previous counters.
- Remove one at a time until the invariant generation fails.

# Implementation

- Quantitative functions on data structures

$\text{len } A$ , size  $T$ , location of  $x$  in  $L$

# Implementation

- Quantitative functions on data structures
  - len  $A$ , size  $T$ , location of  $x$  in  $L$
- C/C++

# Implementation

- Quantitative functions on data structures
  - len  $A$ , size  $T$ , location of  $x$  in  $L$
- C/C++
- Precise bounds on over 50% of loops in Microsoft product code

## Possible research directions

- Nested max

$$\begin{aligned} & \max(0, m - x_0 + \max(0, n - y_0)) \\ & \leq \max(0, m - x_0) + \max(0, n - y_0) \end{aligned}$$

# Possible research directions

- Nested max

$$\begin{aligned} & \max(0, m - x_0 + \max(0, n - y_0)) \\ & \leq \max(0, m - x_0) + \max(0, n - y_0) \end{aligned}$$

- Optimal bound (rather than minimizing counters and dependencies)

# Possible research directions

- Nested max

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- Optimal bound (rather than minimizing counters and dependencies)
- Scenarios where the invariant generation fails:

# Possible research directions

- Nested max

$$\begin{aligned} & \max(0, m - x_0 + \max(0, n - y_0)) \\ & \leq \max(0, m - x_0) + \max(0, n - y_0) \end{aligned}$$

- Optimal bound (rather than minimizing counters and dependencies)
- Scenarios where the invariant generation fails:
  - Invariant generation tool required a global fact

# Possible research directions

- Nested max

$$\begin{aligned} & \max(0, m - x_0 + \max(0, n - y_0)) \\ & \leq \max(0, m - x_0) + \max(0, n - y_0) \end{aligned}$$

- Optimal bound (rather than minimizing counters and dependencies)
- Scenarios where the invariant generation fails:
  - Invariant generation tool required a global fact
  - Linear bounds require path-sensitive invariant generation

# Possible research directions

- Nested max

$$\begin{aligned} & \max(0, m - x_0 + \max(0, n - y_0)) \\ & \leq \max(0, m - x_0) + \max(0, n - y_0) \end{aligned}$$

- Optimal bound (rather than minimizing counters and dependencies)
- Scenarios where the invariant generation fails:
  - Invariant generation tool required a global fact
  - Linear bounds require path-sensitive invariant generation
- Other types of counters, placement, and dependency

# References I



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Specifications, programs, and total correctness.

*Science of Computer Programming*, 34(3):191–205, 1999.



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Speed: precise and efficient static estimation of program computational complexity.

In *ACM SIGPLAN Notices*, volume 44, pages 127–139. ACM, 2009.